

ಕರ್ನಾಟಕ ಸರ್ಕಾರ

ಸಂಖ್ಯೆ: ಇಡಿ 383 ಯುಎನ್‌ಇ 2017

ಕರ್ನಾಟಕ ಸರ್ಕಾರದ ಸಚಿವಾಲಯ,  
ಬಹುಮಹಡಿ ಕಟ್ಟಡ,  
ಬೆಂಗಳೂರು, ದಿನಾಂಕ:15-02-2019.

ಇಂದ:

ಸರ್ಕಾರದ ಅಪರ ಮುಖ್ಯ ಕಾರ್ಯದರ್ಶಿ,  
ಶಿಕ್ಷಣ ಇಲಾಖೆ (ಉನ್ನತ ಶಿಕ್ಷಣ),  
ಬೆಂಗಳೂರು - 560 001.

ಇವರಿಗೆ:

~~ಕುಲಸಚಿವರು,  
ಕರ್ನಾಟಕ ಸಂಸ್ಕೃತ ವಿಶ್ವವಿದ್ಯಾಲಯ,  
ಚಾಮರಾಜಪೇಟೆ, ಬೆಂಗಳೂರು-560018.~~

ಮಾನ್ಯರೆ,

ವಿಷಯ: ಕರ್ನಾಟಕ ಸಂಸ್ಕೃತ ವಿಶ್ವವಿದ್ಯಾಲಯದ ಬೋಧಕ ಹುದ್ದೆಗಳ ಸ್ಥಾನೀಕರಣ  
ಸೌಲಭ್ಯ ನೀಡುವ ಪರಿನಿಯಮಾವಳಿಗೆ ಅನುಮೋದನೆ ನೀಡುವ ಬಗ್ಗೆ.  
ಉಲ್ಲೇಖ: ತಮ್ಮ ಪತ್ರ ಸಂ. K5U/Admin/PS to Registrar/2017-18, dt:10-09-2018  
\*\*\*\*\*

ಮೇಲ್ಕಂಡ ವಿಷಯಕ್ಕೆ ಸಂಬಂಧಿಸಿದಂತೆ, ಘನತೆವತ್ತ ಕುಲಾಧಿಪತಿಗಳು ಕರ್ನಾಟಕ  
ಸಂಸ್ಕೃತ ವಿಶ್ವವಿದ್ಯಾಲಯದ ಅಧಿನಿಯಮ 2009ರ ಪ್ರಕರಣ 39(8)ರನ್ವಯ ಕರ್ನಾಟಕ ಸಂಸ್ಕೃತ  
ವಿಶ್ವವಿದ್ಯಾಲಯದ ಬೋಧಕ ಹುದ್ದೆಗಳ ಸ್ಥಾನೀಕರಣ ಸೌಲಭ್ಯ ನೀಡುವ ಪರಿನಿಯಮಾವಳಿಗಳಿಗೆ  
ದಿನಾಂಕ:31-01-2019ರಂದು ಅನುಮೋದನೆ ನೀಡಿರುತ್ತಾರೆ. ಮಾನ್ಯ ಕುಲಾಧಿಪತಿಗಳಿಂದ  
ಅನುಮೋದಿತವಾಗಿರುವ ಪರಿನಿಯಮಾವಳಿಯ ಮೂಲ ಪ್ರತಿಯನ್ನು ಈ ಪತ್ರದೊಂದಿಗೆ ಲಗತ್ತಿಸಿ ತಮ್ಮಗೆ  
ಕಳುಹಿಸಲು ನಿರ್ದೇಶಿತವಾಗಿದ್ದೇನೆ.

ತಮ್ಮ ನಂಬುಗೆಯ,

  
(ಎಂ.ಎ. ಅಹಮದ್ ಜಾನ್)

ಸರ್ಕಾರದ ಅಧೀನ ಕಾರ್ಯದರ್ಶಿ (ಪು).  
ಉನ್ನತ ಶಿಕ್ಷಣ ಇಲಾಖೆ (ವಿಶ್ವವಿದ್ಯಾಲಯಗಳು-1).





F.No KSU/Admin/PS to Registrar/2017-18 / 219

Date: 16.08.2018

To,

**The Principal Secretary to Govt of Karnataka,**  
Department of Higher Education (Universities)  
6<sup>th</sup> Floor, M.S.Building,  
Bangalore-01

Sir,

**Submission of:**

- Sub:** 1) **Draft Statute Relating to Promotion of Teachers under Career Advancement Scheme (CAS) in Karnataka Samskrit University under Section 38 (11) of the University Act-2009.**
- Ref:** 1) **Your letter No. ED 383 UNE 2017 dated 19.07.18.**  
2) Section of 38 (11) of Karnataka Samskrit University Act 2009.  
3) Proceedings of the meeting of Finance committee held on 10.08.2017.  
4) Proceedings of the meeting of Academic Council meeting held on 16.08.2017.  
5) Proceedings of the meeting of Syndicate held on 19.08.2017.

With reference to the above, I am herewith submitting the following Draft Statute with the required information:

- 1 **Draft Statute Relating to Promotion of Teachers under Career Advancement Scheme (CAS) in Karnataka Samskrit University under Section 38 (11) of the University Act-2009.**

Framed under Section 38 (11) of the University Act-2009 in **triplicate** duly signed by the Registrar and Vice Chancellor along with a copy of the proceedings of the meeting of the Finance Committee held on 10.08.2017 and a copy of the proceedings of the meeting of Academic Council held on 16.08.2017 and copy of the proceedings of the meeting of Syndicate held on 19.08.2017.

Now the above Draft Statute is framed and submitted to the Government with approval of Finance Committee, Academic Council and Syndicate of this University. **(An extract copy of the proceedings of these meetings is enclosed for your reference).**

Therefore, I request you to kindly obtain the assent of His Excellency the Governor and the Chancellor of the University to the Draft Statute and arrange to communicate the approval to the University

Thanking you,

Yours faithfully

*Shilpa*  
Registrar

Karnataka Samskrit University  
Registrar  
Karnataka Samskrit University  
Bangaluru - 560018

Encl: as above

- 1. Extract of the Proceedings of 26<sup>th</sup> meeting of Finance Committee was held on 10.08.2017 under the Chairmanship of Hon'ble Vice-Chancellor of the University.**

**Agenda subject No.02**

- a. Draft statute relating to promotion of Teachers under Career Advancement Schemes in Karnataka Samskrit University.

The Finance Committee has approved and recommended to submit the Statute for seeking assent of the His Excellency the Governor of Karnataka.

- 2. Extract of the Proceedings of 21<sup>st</sup> meeting of Academic Council was held on 16.08.2017 under the Chairmanship of Hon'ble Vice-Chancellor of the University.**

**Agenda subject No.05**

- a. Draft statute relating to promotion of Teachers under Career Advancement Schemes in Karnataka Samskrit University.

The Academic Council has approved and recommended to submit the Statute for seeking assent of the His Excellency the Governor of Karnataka.

- 3. Extract of the Proceedings of 39<sup>th</sup> meeting of Syndicate was held on 19.08.2017 under the Chairmanship of Hon'ble Vice-Chancellor of the University.**

**Agenda subject No. 05**

- a. Draft statute relating to promotion of Teachers under Career Advancement Schemes in Karnataka Samskrit University.

The Syndicate meeting has approved and recommended to submit the Statute for seeking assent of the His Excellency the Governor of Karnataka.

*Sulpan*

**Registrar**

Karnataka Samskrit University  
Bengaluru

Registrar

Karnataka Samskrit University  
Bengaluru - 560018

*उपकुलपति*

**Vice-Chancellor**

Karnataka Samskrit University  
Bengaluru

Vice-Chancellor

Karnataka Samskrit University  
Bangalore-560 018.



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## KARNATAKA SAMSKRIT UNIVERSITY

Pampa Mahakavi Road, Chamarajpet,  
Bangalore - 560018.

### STATUTE RELATING TO PROMOTION OF TEACHERS UNDER CAREER ADVANCEMENT SCHEMES IN KARNATAKA SAMSKRIT UNIVERSITY

(Framed under Section 38(11) of Karnataka Samskrit University Act. 2009)

*Shilpa*  
Smt. M. Shilpa, KAS  
Registrar

Registrar  
Karnataka Samskrit University  
Bangaluru - 560018

*ಶ್ರೀ ಪದ್ಮಶೇಖರ್*  
Prof. Padmashekhara  
Vice-Chancellor

Vice-Chancellor  
Karnataka Samskrit University  
Bangalore-560 018

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**PREAMBLE:**

The University Grants Commission has revised pay scales, minimum qualifications for the appointment of teachers in the University and colleges, designation as Assistant Professor, Associate Professor and Professor and other measures for the maintenance of standards through the Notification No.F.3-1/2009 (PS) dated 23-09-2009.

The UGC through its another Notification No.F.3-1/2009 (PS) dated Sept, 2009 has notified the Regulation for the **promotion under Career Advancement Scheme** for the existing i.e. incumbent Assistant Professors/Associate Professors, Professors, Library and Physical Education personnel. The Government of Karnataka has accepted the Notification of UGC vide reference. No. ED 37 UNE 2009 dated 24-12-2009. The scheme would be applicable to all the grades of Assistant Professors, Associate Professor, Professors, Library and Physical Education personnel.

1. With regard to the Career Advancement Scheme to the Teachers, Librarians and Physical Education Personnel of Karnataka Samskrit University as laid down in the UGC Regulations; it has not been framed at the inception of the University since the teachers appointed were not eligible in the University.
2. The University Grants Commission, in its Notification No. F.1-2/2016 (PS/Amendment), dated: 11.07.2016, in exercise of the powers conferred upon under clauses (e) and (g) of sub section (1) of section 26 of UGC Act 1956 (3 of 1956), the UGC has framed the 4<sup>th</sup> Amendment (Regulations 2016) to the existing provisions in Principal Regulations for Minimum Qualification for Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education 2010. They shall come into force with immediate effect from the date of their publication in the official Gazette of India (Extraordinary). **(A copy of the Notification is enclosed for reference Appendix-III TABLE I TO IX)**

**This Notification issued by the UGC is proposed to be adopted and incorporated to this proposed Statute.**

*Swilpa*  
Registrar

Karnataka Samskrit University  
Bengaluru - 560018

*Disputant*  
Vice-Chancellor

Karnataka Samskrit University  
Bangalore-560 018.

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Accordingly, the Karnataka Samskrit University, has proposed to be adopted the aforesaid Guidelines with the approval of Syndicate and the Academic Council as per the provisions of Act.

Hence, the following Statute.

**DRAFT STATUTE**

**(This Statute is framed under section 38 (11) of the University Act-2009)**

1. **SHORT TITLE, COMMENCEMENT:** This Statute shall be called the Statute Governing Career Advancement for Teachers working in the Karnataka Samskrit University under UGC Career Advancement Scheme 2009.
2. This Statute shall come into effect from the date on which it is assented by His Excellency or from any other date as the Chancellor may direct.
3. **APPLICATION:** This Statute shall be applied to the posts carrying UGC scales of pay such as Professor, Associate Professor, Assistant Professor, Librarian, Deputy Librarian and Assistant Librarian and such other allied posts may be determined by the University in accordance with the scheme of UGC XI plan pay scale Guidelines.
4. The terms and conditions and minimum qualification for recruitment/ Promotion to various posts, Selection Committee and Selection Procedure, Career Advancement Scheme, various incentives for Ph.D/ M.Phil and other Higher Qualifications, Working Days/Work Load, Service Agreement, Code of Professional Ethics, Accountability and consideration of anomalies etc. are those contained in the **Government Order No. ED UNE 2009 dated 24.12.2009 followed by Annexure I to IX.**

Further the Guidelines for minimum qualifications stipulated for Recruitment/Promotion to various teaching posts in the University/ Constituent Colleges issued by the University Grants Commission and/or Government of Karnataka from time to time shall constitute an integral part of this Statute.

*Shilpa*

Registrar

Karnataka Samskrit University  
Bengaluru - 560018

*Dr. S. S. Srinivas*

Vice-Chancellor  
Karnataka Samskrit University,  
Bengaluru-560 018.

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Accordingly, the Karnataka Samskrit University, has adopted the fore said guidelines with the approval of Syndicate and the Academic Council as per the provisions of Act.

5. In view of the above, the Academic Council and Syndicate of Karnataka Samskrit University have resolved to adopt the following:
  1. **Govt. Orders No. ED 37 UNE 2009 dated: 24.12.2009**
  2. **UGC Notification No. F.1-2/2016 (PS) Amendment, dated: 11.07.2016.**
6. The Orders and Notifications issued by the UGC and Government Orders from time to time are deemed to be part of the relevant sections of these Statutes.
7. Any modifications and Amendments that are going to be made by the Govt. of Karnataka from time to time shall be deemed to be an integral part of the original Statute.
8. For removal of difficulties in implementing this Statute, the Vice-Chancellor with the approval of Syndicate may take suitable action in accordance with the provisions of GO/UGC/ MHRD Notifications issued from time to time.

*Suipay*

**Registrar**

Karnataka Samskrit University  
Bengaluru

Registrar

Karnataka Samskrit University  
Bengaluru - 560018

*ಶ್ರೀನಿವಾಸ*

**Vice-Chancellor**

Karnataka Samskrit University  
Bengaluru

Vice-Chancellor

Karnataka Samskrit University  
Bangalore-560 018.

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**DRAFT STATUTE RELATING TO PROMOTION OF TEACHERS  
UNDER CAREER ADVANCEMENT SCHEME IN KARNATAKA  
SAMSKRIT UNIVERSITY**

**1.1 Assistant Professor (stage 1) to Assistant Professor (stage 2 and 3)**

1.1 a Assistant Professors (stage 1) would be eligible for promotion under the career Advancement scheme (CÁS) through two successive stages (stage 2 and 3) provided they are assessed to fulfill the eligibility and performance criteria.

1.1. b. An entry level Assistant Professor, possessing Ph.D. Degree in the relevant discipline shall be eligible for moving to the next higher grade (stage 2) after completion of four years service as Assistant Professor.

1.1. c. An entry level Assistant Professor, possessing M.Phil Degree or post-graduate Degree in professional courses approved by the relevant statutory body such as LL.M./M.tech., tec., shall be eligible for the next higher grade (stage 2) after completion of five years service as Assistant Professor.

1.1. d. An entry level Assistant Professor, who does not have Ph.D. or M.Phil., or a master's Degree in the relevant professional course, shall be eligible for the next higher grade (stage 2) only after completion of six years service as Assistant Professor.

1.1. e. The upward movement from the entry level grade (stage 1) to the next higher grade (stage 2) for all Assistant Professors shall be subject to their satisfying the API based PBAS conditions laid down by the UGC.


11. f. Assistant Professors who have completed five year of service in the second grade (stage 2) shall be eligible subject to meeting the API based PBAS requirements laid down by the UGC to move up to next higher grade (stage 3).

**1.2. Assistant Professor (stage 3) to Associate Professor (stage 4)**

1.2 a. Assistant Professor completing three years of teaching in third grade (stage 3) shall be eligible, subject to the qualifying conditions and the

  
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**2.1. Assistant Librarian (stage 1) to Assistant Librarian (stage 2)**

2.1. a. Assistant University Librarian in the entry level grade, possessing Ph.D. in Library Science, after completing service of four years in the lowest grade, if otherwise eligible as per API scoring system and PBAS methodology laid down by the UGC. Shall be eligible for the higher grade (stage 2).

2.1. b. Assistant Librarian in the entry level grade, not possessing Ph.D. but only M.Phil, in Library Science, after completing service of five years in the lowest grade. If otherwise eligible as per API scoring system and PBAS methodology laid down by the UGC, shall become eligible for the next higher grade (stage 2).

2.1. c. Assistant Librarian I the entry level grade, without the relevant Ph.D or M.Phil, after completing six years in the lowest grade, if otherwise eligible as per API scoring system and PBAS methodology laid down by the UGC, shall become eligible for the next higher grade (stage 2).

**2.2. Assistant Librarian (stage 2) to Deputy Librarian (Stage 3)**

2.2. a. On completion of service of five years, Assistant Librarian 9sr. Scale) shall be eligible for the post of Deputy Librarian equivalent posts and being placed in the next higher grade (stage 3), subject to their fulfilling other conditions of eligibility (such as Ph.D Degree, etc, for Deputy Librarian) as per API scoring system based PBAS methodology laid down by the UGC for CAS promotion. They shall be designated as Deputy Librarian/Assistant Librarian (Selection Grade).

**2.3. Deputy Librarian (Stage 3) to Stage 4.**

2.3. a. After completing three years in the above grade, Deputy Librarians/equivalent positions shall move to the next higher grade (stage 4), subject to fulfilling other conditions of eligibility as per API scoring system and PBAS methodology laid down by the UGC for CAS promotion.

**STAGES OF PROMOTION UNDER CAREER ADVANCEMENT SCHEME FOR PHYSICAL EDUCATION AND SPORTS PERSONNEL**

**3.1. Assistant DPE&S (stage 1) to Assistant DPES (stage 2)**

3.1. a. Assistant DPE&S in the entry level grade/College DPE&S, at the entry level grade, possessing Ph.D in Physical Education, after completing service of four years in the entry level stage (stage 1), and if otherwise eligible as per API scoring system and PBAS methodology prescribed by the UGC for CAS promotion in these Regulations, shall become eligible for the next higher grade (stage 2).

*Sulpan*  
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(c) Tables VII, VIII and IX of Appendix III are applicable to Librarians/deputy Librarians and Assistant Librarians for both direct requirement as well as career Advancement Promotions.

5.1.1. The ratio/percentage of minimum requirement of category-wise API score to each of the cadres shall vary from those for University teachers and for UG/PG College Teachers, as given in these Tables of Appendix-III.

5.1.2. The minimum norms of Selection Committees and Selection Procedures as well as API score requirements for the above cadres, either through direct recruitment or through Career Advancement Schemes Regulations, shall be similar. Tables II (a) and Table II (b) provide for CAS promotions of teachers in Universities.

5.1.3. In order to remedy the difficulties of collecting retrospective information and to facilitate the implementation of these regulations from 31-12-2008 in the CAS Promotion, the API based PBAS will be progressively and prospectively rolled out. Accordingly, the PBAS based on the API scores of categories I and II as mentioned in these tables is to be implemented for one year, initially based on the existing systems in universities/colleges for one year only with the minimum annual scores as depicted in table II (a) and II (b) for University and College teachers or by Librarian/Physical Education and Sports cadres as depicted in Tables V(a) and V(b), Tables VIII(a) and VIII(b) respectively. This annualized API scores can then be compounded progressively as and when the teacher becomes eligible for CAS promotion to the next cadre. Thus, if a teacher is considered for CAS promotion in 2010, one year API scores for 2009-10 alone will be required for assessment. In case of a teacher being considered for CAS promotion in 2011, two years average of API scores for these categories will be required for assessment and so on leading progressively for the complete assessment period. For Category III (research and academic contributions), API scores for this category will be applied for the entire assessment period. A teacher who wishes to be considered for promotion under CAS may submit in writing to the University/College, with three months in advance of the due date, that he/she fulfils all qualifications under CAS and submit to the University/College the Performance Based Appraisal System proforma as evolved by the concerned University duly supported by all credentials as per the API guidelines set out in these Regulations. In order to avoid delays in holding selection Committee meetings in various positions under CAS, the University/College should immediately initiate the process of screening/selection, and shall complete the process within six months from the date of application. Further, in order to avoid any hardships, candidates who fulfill all other criteria mentioned in these Regulations, as on 31<sup>st</sup> December, 2008 and till the date on which this Regulation is notified, can be considered for promotion from the date. On or after 31<sup>st</sup> December, 2008, on which they fulfill these eligibility conditions.

5.1.4. The candidates who do not fulfill the minimum score requirement under the API scoring System proposed as per Tables II(a and b) of Appendix III or those who obtain less than 50% in the expert assessment of the selection process will have to be re-assessed only after a minimum period of one year. The date of promotion shall be the date on which he/she has successfully got re-assessed.

5.1.5. The Selection committee specifications as delineated in Clauses 5.1.0 to 5.1.7 of UGC regulations are applicable to all direct recruitments of faculty Positions and career Advancement promotions of Assistant Professor and to that of Associate Professor to Professor.

5.1.6. CAS promotions from a lower grade to a higher grade of Assistant Professor shall be conducted by a 'Screening cum Evaluation Committee' adhering to the criteria laid out as API score in PBAS in the Tables of Appendix-III.

5.1.7. The 'Screening cum Evaluation Committee' for CAS promotion of Assistant Professors/equivalent cadres in Librarians/Physical Education from one AGP to the other higher AGP shall consist of:

- a. The Vice-Chancellor as the Chairperson of the Selection Committee.
- b. The Dean of the concerned faculty:
- c. The head of the Department/Chairperson of the school; and
- d. One subject expert in the concerned subject nominated by the Vice-Chancellor from the University panel of experts.

5.1.8. The quorum for these committees shall be three including the one subject expert/University nominee need panel to be present.

5.1.9. The Screening cum Evaluation Committee on verification/evaluation of API score secured by the candidate through the 'PBAS' methodology designed by the respective University based on these Regulations and as per the minimum requirement specified: (a) in Tables II and III for each of the cadre of Assistant Professor; (b) in Tables V and VI for each of the cadre of Physical Education and Sports; and (c) in Tables VIII and IX for each of the cadre of Librarians shall recommend to the Syndicate/Executive Council/Board of management of the University about the suitability for the promotion of the candidate(s) under CAS for implementation.

5.1.10. All the selection procedures outlined above, shall be completed on the day of the selection committee meeting, wherein the minutes are recorder along with PBAS scoring proforma and recommendation made on the basis of merit and duly signed by all members of the selection committee in the minutes.

**5.2. Selection Committee for the post of Associate Professor**

- 1. Vice-Chancellor to be the Chairperson of the Selection Committee.
- 2. An academican who is the nominee of the Visitor/Chancellor, wherever applicable.

*Sulpan*

Registrar

*Sankar*  
Vice-Chancellor

Candidates who do not consider themselves eligible can also apply at a later date. In any event, the University concerned shall send a general circular twice a year calling for applications for CAS promotions from eligible candidates.

5.3.8. In the final assessment, if the candidates do not either fulfill the minimum API scores in the criteria as per PBAS proforma or obtain less than 50% in expert assessment, wherever applicable, such candidates will be reassessed only after a minimum period of one year.

5.3.9. In the candidates applies for promotion on completion of the minimum eligibility period and is successful; the date of promotion will be from that of minimum period of eligibility.

5.3.10. If, however, the candidates find that he/she fulfills the eligibility conditions at a later date and applies on that date and is successful, his/her promotion will be effected from that date of application fulfilling the criteria.

5.3.11. If the candidate does not succeed in the first assessment, but succeeds in the eventual assessment, his/her promotion will be deemed to be from the later date of successful assessment.

**5.4. COUNTING OF PAST SERVICES FOR PROMOTION UNDER CAS**

5.4.1. Previous regular service, whether national or international, as Assistant Professor, Associate Professor or Professor or equivalent in a University, College, National Laboratories or other scientific/professional Organizations such as the CSIR, ICAR, DRDO, UGC, ICSSR, ICHR, ICMR, DBT, etc., should be counted for direct recruitment and promotion under CAS of a teacher as Assistant Professor, Associate Professor, Professor or any other nomenclature these posts are described as per Appendix III-Table No.II provided that:

- (a) The essential qualifications of the post held were not lower than the qualifications prescribed by the UGC for Assistant Professor, Associate Professor and Professor as the case may be
- (b) The post is/was in an equivalent grade or of the pre-revised scale of pay as the post of Assistant Professor (Lecturer) Associate Professor (Reader) and Professor.
- (c) The candidate for direct recruitment has applied through proper channel only.
- (d) The concerned Assistant Professor, Associate Professor and Professor should possess the same minimum qualifications as prescribed by the UGC for appointment to the post of Assistant Professor, Associate Professor and Professor, as the case may be.
- (e) The post was filled in accordance with the prescribed selection procedure as laid down in the Regulations of University/State Government/Central Government/ Concerned Institutions, for such appointments.

*Sulpan*  
Registrar

*Joseph*  
Vice-Chancellor  
Karnataka Samskrit University  
Bangalore-560 018.

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APPENDIX-III TABLE-I

**PROPOSED SCORES FOR ACADEMIC PERFORMANCE INDICATORS (APIs) IN RECRUITMENTS AND CAREER ADVANCEMENT SCHEME (CAS) PROMOTIONS OF UNIVERSITY / COLLEGE TEACHERS.**

**CATEGORY I: TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES.**

**Brief explanation:** Based on the teacher self-assessment, API scores are proposed for (a) teaching related activities (b) Domain knowledge (c) Participation in examination and evaluation (d) contribution to innovative teaching, new courses etc. The minimum API score required by teachers from this category is 75.

The self-assessment score should be based on objectively variable criteria wherever possible and will be finalised by the screening /selection committee.

The Universities will be required to detail the activities and in case institutional specifications require. Adjust the weightages, without changing the minimum total API scores required under this category.

Sl.No.	Nature of Activity	Maximum Score
1	Lectures, seminars, tutorials, practical's, contact hours undertaken taken as percentage of lectures allocated	50
2	Lectures or other teaching duties in excess of the UGC norms	10
3	Preparation and Imparting of knowledge / instruction as per curriculum; syllabus enrichment by providing additional resources to students	20
4	Use of participatory and innovative teaching-learning methodologies; updating of subject content, course improvement etc.	20
5	Examination duties (Invigilation; question paper setting, evaluation/assessment of answer scripts) as per allotment.	25
	Total Score	125
	Minimum API Score Required	75

  
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**CATEGORY -III: RESEARCH AND ACADEMIC CONTRIBUTIONS**

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**Brief Explanation:** Based on the teacher's self-assessment, API Scores are proposed for research and academic contributions. The minimum API score required by teachers from this category is different for different levels of promotion and between university and colleges. The self-assessment score will be based on verifiable criteria and will be finalized by the screening/selection committee.

S.N.	APIs	Engineering/Agriculture/ Veterinary Science/Sciences/Medical Sciences	Faculties of Languages Arts/Humanities/Social Sciences/Library/ Physical education/Management	Max. points for University and college teacher position
III(A)	Research Papers Publishe d in	Refereed Journals*	Refereed Journals*	15 / Publication
		Non-refereed but recognized and reputable journals and periodicals, having ISBN/ISSN numbers	Non-refereed but recognized and reputable journals and periodicals, having ISBN/ISSN numbers	10 / Publication
		Conference proceedings as full papers, etc. (Abstracts not to be included)	Conference proceedings as full papers, etc. (Abstracts not to be included)	10 / Publication
III (B)	Research Publicati ons (books, chapters in books, other than refereed journal	Text or Reference Books Published by International Publishers with an established peer review system.	Text or Reference Books Published by International Publishers with an established peer review system.	50/sole author, 10 /chapter in an edited book
		Subjects Books by National level publishers/State and Central Govt. Publications with ISBN/ISSN numbers	Subjects Books by National level publishers/State and Central Govt. Publications with ISBN/ISSN numbers	25/Sole author, and 5 / chapter in edited book

*Sulpan*  
Registrar

*[Signature]*  
Vice-Chancellor  
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	carried out / ongoing				Rs.2.0 lakhs, respectively
III C (iii)	Completed projects : Quality Evaluation	Completed Project Report (Acceptance from funding agency)	Completed project report (Accepted by funding agency)	20 / each major project and 10 / each minor project	
III C (iv)	Projects Outcome /Outputs	Patent/Technology transfer/ Product Process	Major Policy document of Govt. Bodies at Central and State level	30/ each national level output or patent. 50/each for international level	
III D	<b>Research Guidance</b>				
III D (i)	M.Phil	Degree Awarded only	Degree Awarded only	3 /each candidate	
III D (ii)	Ph.D	Degree Awarded	Degree Awarded	10/each candidate	
		Thesis submitted	Thesis submitted	7 /each candidate	
III E	<b>TRAINING COURSES AND CONFERENCE / SEMINAR / WORKSHOP PAPERS</b>				
III E(i)	Refresher courses, Methodology workshops, Training, Teaching-Learning-	(a) Not less than two weeks duration	(a) Not less than two weeks duration	20/each	

	presentations for conferences/ symposia		International	140
		(b) National Level	(b) National Level	5

\* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) indexed journals – by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor above 5 by 25 points.


\*\*If a paper presented in Conference/Seminar is published in the form of Proceedings, the points would accrue for the publication (III (A)) and not under presentation (III(E)(ii)).


**Notes.**

1. It is incumbent on the Coordination Committee proposed in these Regulations and the University to prepare and publicize within six months' subjects-wise lists of journals, periodicals and publishers under categories IIIA and B till such time, screening/ selection committees will assess and verify the categorization and scores of publications.

2. The API for joint publications will have to be calculated in the following manner of the total score for the relevant category of publication by the concerned teacher, the first/Principal author and the corresponding author/supervisor/mentor of the teacher would share equally 60% of the total points and the remaining 40% would be shared equally by all other authors.

3. Note. Subsequent amendments to the UGC regulations 2010 shall be considered wherever applicable.

  
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	and II					
IV	Research and Academic Contribution(Category III)	10/year(40 /assessment Period)	20/year(100/assessment Period)	30/year(90/a sassessment Period)	40/year(120/ assessment Period)	50/year(500/ assessment Period)
	Expert Assessment System	Screening Committee	Screening Committee	Selection Committee	Selection Committee	Expert Committee
V	Percentage Distribution of Weightage Points in the Expert Assessment (Total weightage=100 . Minimum required for promotion is 50)	No separate points. Screening committee to verify API scores	No separate points. Screening committee to verify API scores	30% - Contribution to Research 50% - Assessment of domain knowledge and teaching practices. 20% - Interview performance	30% - Contribution to Research 50% - Assessment of domain knowledge and teaching practices. 20% - Interview performance	50% =research - performance evaluation and other credential by referral procedure

Notes:

1. Teachers may score 10 points from either Category I or Category II to achieve the minimum score required under Category I+ II.
2. Note: For universities for which Sixth PRC Awards (vide Appendix 2) are applicable, Stages 1, 2, 3, 4, 5 and 6 correspond to scales with AGP of Rs.6000, 7000, 8000,9000, 10000 and 12000 respectively.
3. Subsequent amendments to the UGC regulations 2010 shall be considered wherever applicable.

  
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	Expert Assessment System	Screening Committee	Screening Committee	Selection Committee	Selection Committee
V	Percentage Distribution of Weightage Points in the Expert Assessment (Total weightage=100. Minimum required for promotion is 50)	No separate points. Screening committee to verify API scores	No separate points. Screening committee to verify API scores	20% - Contribution to Research 50% - Assessment of domain knowledge and teaching practices. 20% - Interview performance	30% - Contribution to Research 50% - Assessment of domain knowledge and teaching practices. 20% - Interview performance


Notes:

1. Teachers may score 10 points from either Category I or Category II to achieve the minimum score required under Category I+ II.
2. For universities for which Sixth PRC Awards (vide Appendix 2) are applicable, Stages 1, 2, 3, 4, and 5 correspond to scales with AGP of Rs.6000, 7000, 8000,9000, and 10000 respectively.
3. Subsequent amendments to the UGC regulations 2010 shall be considered wherever applicable.

  
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7. If, however on final assessment candidate do not either fulfill the minimum criteria under rows III and IV of tables II(a) and II(b) or obtain less than 50% in the expert assessment they will be reassessed only after a minimum period of one year.
8. (a) If a candidate applies for promotion on completion of the minimum eligibility period and is successful, the date of promotion will be deemed to be the minimum period of eligibility  
(b) If however, the candidate finds that she/he fulfills the eligibility conditions at a later date and applies on that date and is successful, her/his promotion will be deemed to be from that date of application.  
(C) If the candidate does not succeed in the first assessment, but succeeds in an eventual assessment, her /his promotion will be deemed to be from the later date.

  
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